

## The Kraus representation of CPTP maps

Thm A map  $\mathcal{E}: \mathcal{P} \rightarrow \mathcal{P}$  is CPTP if and only if there exists a set  $\{M_\alpha\}_\alpha$  of operators such that

$$\mathcal{E}(\rho) = \sum_\alpha M_\alpha \rho M_\alpha^\dagger \quad \text{and} \quad \sum_\alpha M_\alpha^\dagger M_\alpha = \mathbb{I}$$

The action of a map may be represented by taking the expectation value on the stochastic application of the Kraus operators, with the appropriate probability. Indeed:

$$\mathcal{E}(\rho) = \sum_\alpha M_\alpha \rho M_\alpha^\dagger = \sum_\alpha \frac{\text{Tr}[M_\alpha \rho M_\alpha^\dagger]}{\text{Tr}[M_\alpha \rho M_\alpha^\dagger]} M_\alpha \rho M_\alpha^\dagger = \mathbb{E}_x \frac{M_\alpha \rho M_\alpha^\dagger}{\text{Tr}[M_\alpha \rho M_\alpha^\dagger]}$$

because  $\text{Tr}[M_\alpha \rho M_\alpha^\dagger]$  is a valid probability distribution, as

$$p_\alpha = \text{Tr}[M_\alpha \rho M_\alpha^\dagger] = \text{Tr}[M_\alpha^\dagger M_\alpha \rho] = \langle M_\alpha^\dagger M_\alpha, \rho \rangle_{\text{HS}} \geq 0 \quad \text{as they are both positive}$$

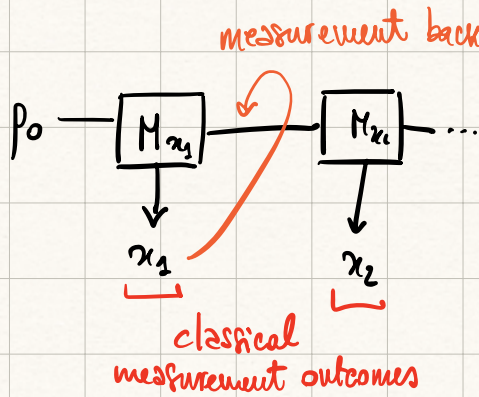
$$\sum_\alpha p_\alpha = \sum_\alpha \text{Tr}[M_\alpha^\dagger M_\alpha \rho] = \text{Tr}\left[\underbrace{\sum_\alpha M_\alpha^\dagger M_\alpha}_{\mathbb{I}} \rho\right] = \text{Tr}[\rho] = 1. \quad \square$$

If we apply the same map multiple times:

$$\begin{aligned} \mathcal{E}(\mathcal{E}(\rho)) &= \sum_{\alpha_1} \sum_{\alpha_2} M_{\alpha_2} M_{\alpha_1} \rho M_{\alpha_1}^\dagger M_{\alpha_2}^\dagger = \\ &= \sum_{\alpha_1, \alpha_2} \text{Tr}\left[M_{\alpha_2} M_{\alpha_1} \rho M_{\alpha_1}^\dagger M_{\alpha_2}^\dagger\right] \frac{M_{\alpha_2} M_{\alpha_1} \rho M_{\alpha_1}^\dagger M_{\alpha_2}^\dagger}{\text{Tr}[M_{\alpha_2} M_{\alpha_1} \rho M_{\alpha_1}^\dagger M_{\alpha_2}^\dagger]} = \\ &= \mathbb{E}_{\alpha_1, \alpha_2} \frac{M_{\alpha_2} M_{\alpha_1} \rho M_{\alpha_1}^\dagger M_{\alpha_2}^\dagger}{\text{Tr}[M_{\alpha_2} M_{\alpha_1} \rho M_{\alpha_1}^\dagger M_{\alpha_2}^\dagger]} \end{aligned}$$

And so on. Moral of the story: we can reproduce the action of a map by stochastic application of its Kraus operators. A single sequence of outcomes is a **quantum trajectory**.

Now: note that each  $M_{x_i}$  operator can be seen as a POVM element: this formalism allows us to describe systems undergoing continuous measurements. That is:



Now: continuous time

Assume infinitesimal timesteps of duration  $dt \ll 1$ . Want to write a map that evolves  $\rho_t$  to  $\rho_{t+dt}$ , via its Kraus operators. With a single Kraus op. it would be a unitary, so assume two. We also want that nothing happens for  $dt=0$ , hence:

$$M_0 = \mathbb{I} - \frac{1}{2} L^\dagger L dt - iH dt \quad M_1 = ?$$

$$M_0^\dagger M_0 + M_1^\dagger M_1 = \mathbb{I} \Rightarrow M_1^\dagger M_1 = \mathbb{I} - M_0^\dagger M_0 =$$

$$= \mathbb{I} - \left( \mathbb{I} - \frac{1}{2} L^\dagger L dt + iH dt \right) \left( \mathbb{I} - \frac{1}{2} L^\dagger L dt - iH dt \right) =$$

$$= \mathbb{I} - \left( \mathbb{I} - \frac{1}{2} L^\dagger L dt + \cancel{iH dt} - \frac{1}{2} L^\dagger L dt - \cancel{iH dt} \right) = L^\dagger L dt$$

$$\Rightarrow M_1 = L \sqrt{dt}$$

So, we have the CPTP (to order  $dt$ ) map

$$M_0 = \mathbb{I} - \frac{1}{2} L^\dagger L dt - iH dt$$

$$M_1 = L \sqrt{dt}$$

Average over trajectories (by hand for now)



$$\rho_{t+dt} = M_0 \rho M_0^\dagger + M_1 \rho M_1^\dagger =$$

$$= \left( \mathbb{I} - \frac{1}{2} L^\dagger L dt - iH dt \right) \rho \left( \mathbb{I} - \frac{1}{2} L^\dagger L dt + iH dt \right) + L \rho L^\dagger dt =$$

$$= \rho - \frac{1}{2} \{L^\dagger L, \rho\} dt - i[H, \rho] dt + L^\dagger L dt$$

That is the GKSL master equation.

In time, we can think that at each step there is a stochastic choice of either  $M_0$  or  $M_1$ .

In particular,  $M_0$  is applied with probability

$$p_0^t = \text{Tr}[M_0 \rho_t M_0^\dagger]$$

and  $M_1$

$$p_1^t = \text{Tr}[M_1 \rho_t M_1^\dagger]$$

We used to use  $x$  to represent the outcome at each step. Now, let us call it  $dN_t$  to represent its infinitesimal nature. Of course,  $dN_t \in \{0, 1\}$ , hence  $dN_t^2 = dN_t$ .

Furthermore:

$$\mathbb{E}[dN_t] = 0 p_0^t + 1 p_1^t = p_1^t = \text{Tr}[M_1 \rho_t M_1^\dagger] = dt \text{Tr}[L \rho L^\dagger]$$

Hence, with a bit of mathematical clumsiness, we say  $dN_t = \mathcal{O}(dt)$ .

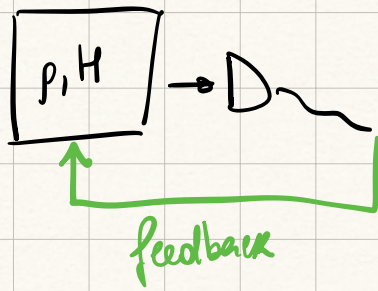
Let us write our evolution on a trajectory as

$$\rho_{t+dt}^c = \underbrace{(1 - dN_t)}_{\text{if } M_0 \text{ is selected}} \frac{M_0 \rho_t M_0^\dagger}{\text{Tr}[M_0 \rho_t M_0^\dagger]} + \underbrace{dN_t}_{\text{if } M_1 \text{ is chosen}} \frac{M_1 \rho_t M_1^\dagger}{\text{Tr}[M_1 \rho_t M_1^\dagger]} \quad \left. \begin{array}{l} \text{Stochastic master equation} \\ \text{(SME)} \\ dN_t \rightarrow \text{Poisson increment} \end{array} \right\}$$

Key property:  $\mathbb{E}[\rho_{t+dt}^c]$  should yield the GKSL master equation.

Proof  $\rightarrow$  Albarelli, Genoni, Phys. Lett. A 2023 (e.g.)

Why should we care? It is a natural description of systems undergoing **continuous monitoring** via direct photodetection



And then... we could close the loop, and upon the system, based on the measurement (e.g. for the preparation of a state)  
 ⇒ Hari's lecture 19/03

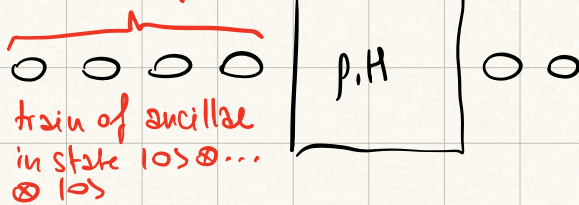
Lead by this experimental insights, we can consider different measurement schemes, corresponding to different unravellings of the GKSL equation.

### Collisional perspective

Alternatively, we can introduce trajectories by thinking of collisional models.

Interaction between system and environment given by the Hamiltonian  $H_{int}$ , given by

Fock states of h.o.



$$H_{int} = i \sqrt{\kappa} (a \otimes b_t^\dagger - a^\dagger \otimes b_t)$$

(  $\sqrt{dt}$  ) → rescaling of  $\kappa$  w. time

where  $a$  bosonic/fermionic ladder operator

on the system, and  $b_t$  bosonic operator on the ancilla at time  $t$ .

$$\Rightarrow U(t, t+dt) = \exp \left[ \sqrt{\kappa dt} (a \otimes b_t^\dagger - a^\dagger \otimes b_t) \right]$$

(+ Hamiltonian of the system)  $\Rightarrow \dots \Rightarrow$  yields the GKSL equation again.

But now, assume we measure the ancilla when it comes out.

- measure eigenstates  $|u\rangle$  of  $b_t^\dagger b_t \Rightarrow$  photodetection, see above
- measure eigenstates  $|r\rangle$  of  $b_t + b_t^\dagger \Rightarrow$  homodyne.
- etc.

Let us consider the second case. Unnormalized conditional state of the system after



interacting with ancilla at timestep  $t$ :

$$\begin{aligned} \tilde{\rho}_x^c(t+dt) &= \text{Tr}_t \left[ U(t, t+dt) (\rho(t) \otimes |0\rangle\langle 0|) U^\dagger(t, t+dt) (\mathbb{1} \otimes |x\rangle\langle x|) \right] = \\ &= \langle x| U(t, t+dt) \rho(t) \otimes |0\rangle\langle 0| U^\dagger(t, t+dt) |x\rangle \end{aligned}$$

Probability:  $P_x = \text{Tr} \left[ \tilde{\rho}_x^c(t+dt) \right]$

Expand  $U$  at order  $\sqrt{dt}$ :

$$U(t, t+dt) \sim \mathbb{1} \otimes \mathbb{1} + \sqrt{\kappa dt} (a \otimes b_t^\dagger - a^\dagger \otimes b_t) + o(\sqrt{dt})$$

$$\Rightarrow \tilde{\rho}_x^c(t+dt) = \langle x| \left( \mathbb{1} \otimes \mathbb{1} + \sqrt{\kappa dt} (a \otimes b_t^\dagger - a^\dagger \otimes b_t) \right) \rho(t) \otimes |0\rangle\langle 0|.$$

$$\cdot \left( \mathbb{1} \otimes \mathbb{1} + \sqrt{\kappa dt} (a^\dagger \otimes b_t - a \otimes b_t^\dagger) \right) |x\rangle =$$

$$= |\langle x|0\rangle|^2 \rho(t) + \sqrt{\kappa dt} \left( a \rho(t) \langle x|1\rangle \langle 0|x\rangle + \rho(t) a^\dagger \langle x|0\rangle \langle 1|x\rangle \right) = (*)$$

Now, by using the shape of the eigenfunctions of the harmonic oscillator on the position basis (Hermite functions), define  $p(x) = |\langle x|0\rangle|^2$ , and  $\langle x|1\rangle = \sqrt{2} x \sqrt{p(x)}$ .

Then:

$$(*) = p_x(t) \left[ \rho(t) + \sqrt{\kappa dt} \sqrt{2} x (a \rho(t) + \rho(t) a^\dagger) \right]$$

The probability is the trace of the unnormalized state: a Gaussian with variance  $\frac{1}{2}$  and mean value  $\sqrt{\frac{\kappa dt}{2}} \langle a + a^\dagger \rangle_{\rho(t)}$

⇒ deterministic component of mean + random Gaussian variable. Def.

$$dy_t = \sqrt{\kappa} \langle a + a^\dagger \rangle_t dt + dw_t \quad (\text{infinitesimal change})$$

with  $\text{Var}(dw_t) = dt$ ,  $\mathbb{E}[dw_t] = 0$

$dw_t$  is the **Wiener increment**, and satisfies the rules of **Itô calculus**. The most important:

$$dw_t^2 = dt \quad (\text{Itô rule})$$

⇒ the infinitesimal current is the exp. value of the quadrature  $x$ , plus some white noise.

Obtain an equivalent SDE:  $\mathcal{H}[A] \cdot = A \cdot + \cdot A - \langle A + A^\dagger \rangle \cdot$

$$dp^{(c)}(t) = \sqrt{\kappa} \mathcal{H}[a] p(t) dw + \sigma(\sqrt{dt})$$

and then obtain terms at order  $dt$  by imposing the GKSL eq. for average.

Recap



jump unraveling



diffusive unraveling



A more fundamental question: do systems emit? It depends on how they are observed!